THE AMERICAN POLITICAL TRADITION (PLAP 2250)

The University of Virginia Department of Politics Fall 2014

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I. Course Description

This course will study the theoretical ideas that informed the creation and development of America's political system and consider some of the major contemporary challenges to the maintenance of American democracy. Topics to be treated include the political thought of the American Founders, the place of religion in public life, the nature of written constitutions, and the role of America in the world. The course will take place in a seminar setting limited to no more than twenty students. Emphasis will be placed on the discussion of important texts and documents. The course will be supplemented by occasional lectures by selected experts from inside and outside of the University, which will be held at the Jefferson Society Hall.

II. Texts

Both texts required for this course are available at the University Bookstore. Students should purchase the following editions:

- 1. Alexis de Tocqueville, *Democracy in America*, Trans. George Lawrence, Perennial Classics, 2000.
- 2. Hamilton, Madison, Jay, *The Federalist Papers*, Ed. Charles Kesler, Signet, 1999.

In addition, most of the readings can be found in a course packet that is available for purchase at NK Design (on Elliewood Avenue, just across from Take It Away).

These readings are also available on UVA Collab (CL): https://collab.itc.virginia.edu/

III. Assignments and Grading

- 1. Two separate 5 to 6 page papers (15% for first, 20% for second) due by 3 p.m. on Friday, October 3 and Friday, December 5.
- 2. Midterm Exam (20%) on Friday, October 10.
- 3. Final Exam (30%) on Tuesday, December 16 from 2-5 p.m.
- 3. Class participation (15%).

IV. Course Policies

Papers: Students will receive paper assignments approximately two weeks before the due date. Papers shall be 5 to 6 typed (12 point font) pages, double-spaced, with 1-inch margins. Failure to complete any assignment will result in an F in the course.

Please note well: **late papers will be penalized one third letter grade per day**. This means that if you fail to turn in a paper on time, your grading rubric for that paper will begin at A and go

down from there (A-,B+,B, etc.) for every 24 hours the paper is late. **Electronic copies of papers will not be accepted.** Please print and staple all papers.

This course is writing intensive and the *quality* of your argument, analysis, and English usage will greatly affect your grade.

As a courtesy to your fellow students, please **turn off cell phones before coming to class.** Text messaging in class will substantially lower your participation grade. **Internet usage is not permitted in class**.

Class Participation and Readings: The centerpiece of our class meetings will be rigorous discussion of the assigned texts. Therefore, participation is of the utmost importance. Students will be expected to complete the readings and come prepared to discuss them in detail. The syllabus provides questions to guide your preparation of the readings.

Honor Code at Virginia: All work completed for this course falls under the guidelines of the Honor System. The Pledge is a signed reaffirmation of your commitment to academic integrity. Accordingly, you must write out, sign and date the following pledge on all academic work: "On my honor as a student, I have neither given nor received aid on this exam/assignment."

Guest Lectures: The guest lectures are a vital element of this course. They are typically given by a prominent scholar of the topic under consideration. Your attendance at the guest lectures is a mandatory part of your participation grade, and a sign-in sheet will likely be circulated during each lecture. Any or all of the materials covered in the lectures can appear on the exams. Unless otherwise announced, all guest lectures will be held in the Jefferson Society Hall.

COURSE READING SCHEDULE

UNIT 1: THE BASIC UNITS OF POLITICAL LIFE

Wednesday, August 27: Introduction

Pierre Manent, "The Question of Political Forms" Aristotle, selections from *Politics*

Reading Questions

- 1. What are the major political "forms" identified by Pierre Manent? What follows from each form?
- 2. How are different "forms" of political life influenced by economic, technological, and religious factors?
- 3. What is a political "regime" or "constitution"?
- 4. What are the different types of regimes as outlined by Aristotle?

Friday, August 29
NO CLASS

Monday, September 1: The Ancient Regime

Plutarch, "Life of Lycurgus" Tocqueville, *Democracy in America*, pp.30-47

Reading Questions

- 1. How does Plutarch's "Life of Lycurgus" illustrate the idea of a regime? Would you like to live in Sparta? What are the advantages and disadvantages of the Spartan regime?
- 2. How does Tocqueville's vision of the early communities in New England illustrate the idea of a regime? Would you like to live in a Puritan community?

Wednesday, September 3: The Puritan Regime and Montesquieu's Regime Classifications

Montesquieu, selections from Spirit of the Laws

Reading Questions

- 1. What are the different kinds of regimes in Montesquieu's classification scheme?
- 2. How does Montesquieu's classification scheme differ from Aristotle's? What does he add to the ancient concept of the regime?
- 3. Does Montesquieu prefer an ancient republic like Rome or a modern, mixed regime like England?

Friday, September 5: Tocqueville's Regime Classifications

Tocqueville, Democracy in America, pp. 9-20; 50-60; 241-245; 503-508; 690-695

Reading Questions

- 1. What does Tocqueville mean when he speaks of a shift from aristocracy to democracy?
- 2. What are the three different regimes (or governments) of modern times?
- 3. In what sense does Tocqueville consider modern despotic government to be democratic?

UNIT 2: PHILOSOPHIC UNDERPINNINGS OF THE AMERICAN REPUBLIC

Monday, September 8: The Social Contract

John Locke, selections from *Second Treatise on Government*James Otis, "The Rights of the British Colonies Asserted and Proved"
John Dickinson, "Letters from a Pennsylvania Farmer"

- 1. What was the basis of the colonists' objections to the British government and rule prior to the Revolutionary War?
- 2. What do these authors mean when they refer to a state of nature and natural rights?
- 3. Why is taxation without representations wrong? What does Dickinson mean by slavery?

Wednesday, September 10: The Social Contract

Thomas Jefferson, "Minutes from a meeting of the Board of Visitors of UVA" Declaration of Independence (in *Federalist Papers*)
Thomas Jefferson, "Letter to Major John Cartwright," June 5, 1824
Thomas Jefferson, "Letter to Henry Lee," May 8, 1825
Thomas Jefferson, "Letter to Roger Weightman," June 24, 1826
Alexander Hamilton, "The Farmer Refuted"

Reading Questions

- 1. What were the grounds for declaring independence?
- 2. What does the Declaration mean by a "natural right to liberty"? By the truth that all mean are created equal?
- 3. To what extent is the Declaration influenced by the political philosophy of John Locke?
- 4. What does Jefferson mean by society being founded on "nature" or "natural rights" rather than history (or revelation)?

Friday, September 12: Debates over Small vs. Large Republics

Federalist Papers, No. 10, 51, 14 (last paragraph only, pp. 98-100) Brutus, "No.1" Centinel, "No. 1" James Ceaser, selection from American Government

Reading Questions

- 1. What type of citizen is necessary in the new republic?
- 2. Why is the "extended republic" of the Constitution an innovation?
- 3. What were some of the main objections to the Constitution?

Monday, September 15: Debates over Small vs. Large Republics

Articles of Confederation (in *Federalist Papers*)
U.S. Constitution, Articles I – IV (in *Federalist Papers*)
Herbert Storing, selection from *What the Anti-Federalists Were For Federalist Papers*, No. 15, 23

Reading Questions

- 1. What were Publius's chief arguments against the Articles of Confederation?
- 2. Why study the Anti-Federalists?
- 3. Have the fears of the Anti-Federalists been borne out?

UNIT 3: RELIGION AND POLITICS

Wednesday, September 17: The Puritan Communities

Tocqueville, *Democracy in America*, pp. 287-301 Mayflower Compact John Winthrop, "A Model of Christian Charity" John Winthrop, "On Liberty"

- 1. How did the Puritans understand the role of religion in politics?
- 2. What difficulties emerge when religious law is the *direct* foundation of political law?

Friday, September 19: The American Founders on Religion

Patrick Henry, "A Bill Establishing a Provision for Teachers of the Christian Relgion"

James Madison, "Memorial and Remonstrance against Religious Assessments"

Thomas Jefferson, Notes on the State of Virginia, Ouery 17

Thomas Jefferson, A Bill for Establishing Religious Freedom

Thomas Jefferson, "Letter to Danbury Baptist's Association"

George Washington, "Letter to Tauro Synagogue" George Washington, "Letter to Quakers"

George Washington, "Thanksgiving Day Proclamation"

Reading Questions

- 1. What was the Founders' view of the relation between religion and politics?
- 2. What distinguishes the American political tradition regarding the status of religion in political life?

Monday, September 22: Religion, Democracy, and the First Amendment

Tocqueville, *Democracy in America*, pp. 442-449 First Amendment (in Federalist Papers) Lee v. Weisman Wisconsin v. Yoder

Reading Questions

- 1. Assess the decision in *Lee v. Weisman*. Would you have decided it differently?
- 2. Does the First Amendment affirm mere neutrality between religions or dictate a public stance with regard to religion vs. non-religion?
- 3. What should the status of the phrase "under God" be in the Pledge of Allegiance? Of "In God We Trust" on our coins?

UNIT 4: CONSTITUTIONALISM

Wednesday, September 24: Debates over Rigid vs. Flexible Constitutions

U.S. Constitution, Article V. Amendments (in *Federalist Papers*) Thomas Jefferson, "Letter to James Madison," September 6, 1789 James Madison, "Letter to Thomas Jefferson," February 4, 1790 Thomas Jefferson, "Letter to Samuel Kercheval," July 12, 1816 Federalist Papers, No. 49

- 1. What is a written Constitution? How did it revolutionize the relationship between government and the people?
- 2. Should a constitution be rigid (i.e. difficult to amend) or flexible (i.e. easily amendable, such as permitting amendments to be approved on a mere majority vote of the legislature and citizenry, empowering the people to initiate constitutional changes, and requiring a periodic popular vote on calling a revision convention, etc.?)

Friday, September 26: Debates over Short vs. Long Constitutions

Bill of Rights (in Federalist Papers)

Selections from Theodore Roosevelt's "Speech to the Ohio Constitutional Convention of 1912" Virginia Bill of Rights (1776)

Franklin D. Roosevelt, 1944 State of the Union Address

Selections from Debates of the Maryland Constitutional Convention of 1967-1968

Reading Questions

- 1. Should a Constitution be short (limited to merely outlining the structure of government and prohibiting encroachments on fundamental rights), or long (such as including aspirational provisions, resolving issues in areas where elected officials have proven untrustworthy, and empowering or even requiring officials to take certain actions such as securing economic, labor, and environmental rights)?
- 2. What *should* be included in a written constitution?

Monday, September 29: Who should interpret the Constitution?

Federalist Papers, No. 78
Selections from Marbury v. Madison
Brutus, "The Problem of Judicial Review"
Thomas Jefferson, "On Judicial Power"
Andrew Jackson, Selections from "Veto of the Bank Bill"
Abraham Lincoln, selections

Reading Questions

- 1. Should constitutional interpretation be the province solely of the judiciary, or do the executive and legislative branches also have a responsibility to engage in independent constitutional interpretation?
- 2. When should a decision on matters of constitutional interpretation be considered final? Should it ever be considered final?

Wednesday, October 1: How should the Constitution be interpreted?

Roper v. Simmons (2005) Lawrence v. Texas (2003)

Reading Questions

- 1. What is the difference between saying that something is constitutional and advocating a political position? Why does Justice Thomas defend the constitutionality of a law he dislikes?
- 2. How should a judge interpret the Constitution? What is the difference between interpreting it according to the original meaning of the Framers, or by more contemporary standards?

Friday, October 3: Constitutional Interpretation

*** Guest Speaker, John Dinan, Wake Forest University ***

*** First Paper Due by 3:00 PM ***

Monday, October 6: How should the Constitution be interpreted?

William Brennan, "The Constitution of the United States: Contemporary Ratification" Antonin Scalia, "Originalism: The Lesser Evil"

Reading Ouestions

1. Make the case for the notion of a living constitution. What are some objections to it?

UNIT 5: AMERICAN INSTITUTIONS

Wednesday, October 8: The Legislature

Federalist Papers, No. 52, 53, 55, 62, 63 Benjamin Rush, "Letter on the Defects of the Pennsylvania Constitution" Tocqueville, *Democracy In America*, pp. 84-86, 200-201

Reading Questions

- 1. What is the distinction between a representative democracy and a direct democracy?
- 2. What is the case for bicameralism, as opposed to having a single legislative assembly?
- 3. In what ways was the Senate designed to have a different character than the House of Representatives?

Friday, October 10: *** Midterm Exam ***

Monday, October 13: *** NO CLASS: Reading Day ***

Wednesday, October 15: The Executive

John Locke, "On Prerogative Power" Federalist Papers, No. 70 Neutrality Act of 1793 Helvidius Pacificus Debates

Reading Questions

- 1. What is the relation of executive power to constitutional government or the rule of law?
- 2. To what degree do liberal democracies need energetic executive power?

Friday, October 17: The Executive

Abraham Lincoln, "Habeas Corpus Speech," July 4, 1861 Abraham Lincoln, "Letter to Albert Hodges," April 4, 1864 Benjamin A. Kleinerman, "Lincoln's Example" Harvey C. Mansfield, "Law and the President"

- 1. What does Lincoln's example show us about the relationship of executive power to the rule of law?
- 2. Did Lincoln violate the Constitution or uphold it? Were his actions justified?
- 3. By what standard do we judge when the exercise of executive prerogative is an unjustified violation of the rule of law?

Monday, October 20: Federalism

Federalist Papers, No. 39 Martha Derthick, "America's Federalism" Tocqueville, *Democracy in America*, pp. 155-170

Reading Questions

- 1. What is federalism?
- 2. How has federalism developed since the Founding?
- 3. What is the difference between and a unitary and a federal system?
- 4. What are the advantages and disadvantages of concentrating more power in the federal government?

UNIT 6: THE PROBLEM OF SLAVERY AND CIVIL RIGHTS

Wednesday, October 22: Slavery, Abolition, and the U.S. Constitution

Frederick Douglass, selections from *Autobiography*William Lloyd Garrison, "On the Constitution and the Union"
Frederick Douglass, "The Constitution of the U.S.: Is It Pro-Slavery or Anti-Slavery?"

Reading Questions

- 1. How did Frederick Douglass view the Declaration and the Constitution? How did he see these two documents in relationship to the struggle for emancipation?
- 2. How did Douglass' view of the Constitution differ from that of some other abolitionists, who considered it "a pact with the devil?"

Friday, October 24: Slavery, Abolition, and the U.S. Constitution

*** Guest Speaker: Lucas Morel, Washington and Lee University ***

Monday, October 27: Slavery and the American Founding

Benjamin Franklin, "An Address to the Public from the Pennsylvania Society for Promoting the Abolition of Slavery"

Alexander Hamilton, "Letter to John Jay"

Herbert Storing, "Slavery and the Moral Foundations of the American Republic"

Federalist Papers, No. 54

John C. Calhoun, "Speech on the Oregon Bill"

Alexander Stephens, "Cornerstone Speech"

Slavery provisions in the U.S. Constitution: Article 1, Section 2, Clause 3; Article 1, Section 9, Clause 1; Article 4, Section 2, Clause 3

- 1. What status did slavery hold under the Constitution, and what reasons were advanced to account for its status? How did some of the Founders expect to see the problem of slavery resolved?
- 2. What were some of the early plans to advance abolitionism by Franklin and Hamilton? What was the logic of Hamilton's plan?
- 3. What were the "new" views on slavery of the Southerners? How did Calhoun and Stephens (the vice-president of the Confederacy) deal with the claims of the Declaration of Independence?

Wednesday, October 29: Abraham Lincoln and the Crisis of the House Divided

Thomas Jefferson, "Letter to John Holmes"

Lord Charnwood, selections from Abraham Lincoln

Abraham Lincoln, "Speech at Chicago"

Abraham Lincoln, "Speech on the Repeal of the Missouri Compromise"

Abraham Lincoln, selections from Lincoln-Douglas debates

Stephen Douglas, selections from Lincoln-Douglas debates

Reading Questions

- 1. What were the different positions of Lincoln and Douglas on the crisis of the 1850s? Is one closer to Jefferson's original opinion on the Missouri Compromise?
- 2. What were the different views of Lincoln and Douglas on the Declaration of Independence?

Friday, October 31: Abraham Lincoln (cont.)

Abraham Lincoln, "Lyceum Address"

Abraham Lincoln, "Gettysburg Address"

Abraham Lincoln, "Second Inaugural Address"

Reading Questions

- 1. These latter two speeches of Abraham Lincoln are widely considered to be the greatest ever pronounced by an American political leader. What accounts for this judgment?
- 2. Does the Second Inaugural read as a speech that you would have expected, based on Lincoln's prior speeches? What "new" themes are found? How does his "political theology" change over time?

Monday, November 3: Race in Post-bellum America

Booker T. Washington, "The Atlanta Exposition Address" W.E.B. DuBois, selections from *Souls of Black Folk Plessy v. Ferguson* (1896)

Reading Ouestions

- 1. What positions did Washington and DuBois adopt in the quest for achieving racial equality?
- 2. What are the grounds of Justice Harlan's dissent in *Plessy v. Ferguson*?

Wednesday, November 5: The Civil Rights Movement

Martin Luther King, Jr., "Letter from a Birmingham Jail" Martin Luther King, Jr., "I Have a Dream" Malcolm X, "The Ballot or the Bullet"

- 1. How does MLK Jr.'s approach to civil equality differ from that of Malcolm X?
- 2. For MLK Jr., how should an individual deal with a law that he or she recognizes is unjust?

UNIT 7: TOCQUEVILLE ON DEMOCRATIC POLITICS AND CULTURE

Friday, November 7: Democratic Politics

Tocqueville, *Democracy in America*, pp. 62-70, 87-98, 196-201, 231-245, 250-253

Reading Questions

- 1. What are the chief threats that Tocqueville identifies to the health of American democracy?
- 2. Tocqueville admits that decentralized administration is often inefficient. Why then does he prefer it to centralized administration?
- 3. What are the advantages and disadvantages of democratic government and society as observed in America, compared to aristocratic government and society?

Monday, November 10: The Democratic Mind

Tocqueville, *Democracy in America*, pp.429-436, 451-454, 459-468, 475-478, 509-517, also *review* 503-508

Reading Questions

- 1. Is Tocqueville right that the democratic mind tends to be *both* independent and conformist? How can it be both?
- 2. Considering what we've already read by Tocqueville concerning religion in America, what is the significance of his saying that "religion is strong"

Wednesday, November 12: Democratic Culture

Tocqueville, Democracy in America, pp. 525-546, 555-558, 627-632

Reading Questions

- 1. How do features of American democratic culture such as associations, restlessness, spirituality, taste for material well-being, and the pursuit of self-interest increase or decrease individualism? Which does Tocqueville want to encourage?
- 2. Explain the doctrine of "self-interest rightly understood", why it appeals to Americans, and why Tocqueville recommends it, despite his disagreements with it.

Friday, November 14: The End of Democracy

*** Guest Speaker: Tom Merrill, American University ***

Tocqueville, Democracy in America, pp. 671-678, 690-705

Reading Ouestions

1. What democratic trends contribute to the possibility of soft despotism? Is soft despotism our fate?

UNIT 8: PROGRESSIVISM, LIBERALISM, CONSERVATISM

Monday, November 17: Progressivism

Condorcet, selections from *Sketch of the Human Mind* Woodrow Wilson, "What is Progress?" Herbert Croly, selections from *Progressive Democracy*

Reading Ouestions

- 1. What is the meaning of the idea that history progresses? Do you accept the proposition that things have gotten better? Does the record of the twentieth century provide evidence in favor or against the idea?
- 2. What, in terms of American politics, is progressivism?
- 3. What is the progressive's critique of the Founding? In what way was the Founding, especially the Constitution, inadequate?

Wednesday, November 19: Liberalism

John Dewey, "The Future of Liberalism," from *Philosophy of Education* Franklin Delano Roosevelt, "The Commonwealth Club Address"

Selection on contemporary liberalism?

Reading Questions

- 1. Compare and contrast progressivism with liberalism. How do both inform contemporary partisan debates?
- 2. How does Dewey understand liberalism?

Friday, November 21: Conservatism

*** Guest Lecture: Peter Lawler, Berry College ***

James Ceaser, "Four Heads and One Heart: The American Conservative Movement" Russell Kirk, "Ten Principles of Conservatism" Milton Fridman, *Capitalism and Freedom*

Reading Questions

1. Is conservatism one thing or many? If one, what is its core principle? If many, what is their common denominator?

Monday, November 24: Conservatism

Ronald Reagan, "First Inaugural" John Mickelthwait and Adrian Wooldridge, "Reaganism", *Wall Street Journal* George W. Bush, "Second Inaugural" Charles Kesler, "Critique of Second Inaugural"

- 1. What do you think conservatism's future holds? Has the political landscape changed so fundamentally that conservatism itself will have to change in order to remain viable?
- 2. Is conservatism in danger of unraveling at the seams? If so, will one "branch" win out over time? Is there a viable alternative to conservatism today?

Wednesday, November 26: *** NO CLASS: Thanksgiving Recess ***

Friday, November 28: *** NO CLASS: Thanksgiving Recess ***

UNIT 9: UNITED STATES AND THE WORLD; NATIONAL SECURITY, INTERESTS, AND AMERICAN PURPOSES

Monday, December 1: National Security

Walter Russell Mead, selections from Special Providence: American Foreign Policy and How It Changed the World

Reading Questions

1. What are the ends of American foreign policy? How have they changed over time? **Wednesday, December 3: National Security**

George Washington, selections from "Farewell Address"

Woodrow Wilson, "Fourteen Points"

Atlantic Charter

Dwight Eisenhower, selections from "Farewell Address"

George W. Bush, selections from "National Security Statement 2002"

Tocqueville, *Democracy in America*, pp.226-230

Reading Questions

- 1. Should our foreign involvements be limited only to our own security concerns, or do we have an obligation to further the cause of democracy abroad? In both cases, what means are permissible for the attainment of the goal?
- 2. What are the shortcomings of both an "isolationist" and "expansionist" foreign policy?

Friday, December 5: National Security

*** Second Paper Due by 3pm ***

Samuel Huntington, "The Clash of Civilizations," *Foreign Affairs*Robert Kagan, "The End of Dreams: And the Return of History," *Policy Review*

Reading Questions

1. If Huntington's description of the current international situation is correct, what would be the best U.S. foreign policy approach? What if Kagan is correct?

FINAL EXAM: Tuesday, December 16, 2:00PM – 5:00PM